



Instructions to join the NYSSA Fordham Student Chapter:

1. Print and complete the Student Member Application (see next four pages)
2. Pay the \$45 student member fee either by:
 - a. including your credit card information on the Application (page 2), or
 - b. including a check or money order payable to “NYSSA” in the amount of \$45 with your application.
3. Print and include copy of your latest unofficial transcript from MyFordham for NYSSA enrollment & GPA verification purposes. This can be done from:
 - a. MyFordham > Student Tab > Banner Self-Service > Student > Student Records > Academic Transcript
4. Check to make sure your application is complete:
 - a. NYSSA Student Member Application (the next four pages)
 - b. Payment method written (for credit cards) or included (for checks and money orders)
 - c. Unofficial Fordham transcript
5. Mail the completed application to:

NYSSA Fordham Student Membership
466 Faber Hall
Fordham University
441 East Fordham Rd
Bronx, NY 10458

Processing of applications will take anywhere from 2-4 weeks, so please be patient. Once NYSSA completes processing, you will receive a NYSSA email providing you with access to the member-restricted areas of the NYSSA website.

Please address all questions to fordhamfinancesociety@gmail.com



GUIDE FOR COMPLETING THE NYSSA MEMBERSHIP APPLICATION: STUDENT CHAPTER MEMBERSHIP CATEGORY

Thank you for your interest in joining the New York Society of Security Analysts, Inc. This packet contains all paperwork necessary for the **Student Chapter** membership category.

- NYSSA's Student Chapter Membership is available to those who are participating in a NYSSA Chapter at their college/university. Students must be matriculated toward a bachelors or masters degree in finance or business, or other courses of study related to the investment decision-making process.
- NYSSA Student Chapter Members must maintain a GPA of 3.0 or higher and be in good standing at an accredited college/university. (NYSSA will waive requirements for all freshman students. Freshmen students have until the end of the academic year to achieve a 3.0 GPA.)
- Student Chapter membership is valid for one year and must be renewed annually.
- Membership is activated the day the application is processed, and ends the last day of the month in which it is activated the subsequent year.
- NYSSA student chapter dues are \$45 annually and are not prorated.
- Applications must be submitted to faculty advisor. Faculty advisors are responsible for signing, verifying students' eligibility, and sending application to NYSSA.
- Individuals may become student members for \$60 within one year after graduation, or upon no longer participating in NYSSA Chapter in their college/university. Regular Student membership requires a different application and process.

Student Chapter Application Package Contents:

- NYSSA Student Chapter Membership Application
- NYSSA Student Member Agreement

Before submitting, please make a copy of your completed paperwork for your records. Your application will not be processed unless it is complete. To avoid delays in the application process, please ensure that you sign and enclose the following:

- NYSSA Membership Application
- Student Member Agreement
- Payment

You will receive a welcome email within two weeks after your application has been processed.

You may contact the NYSSA Membership department at any time with questions about your application or membership status at:

**Email: membership@nyssa.org
or
Call: (212) 541-4530 extension 1503.**



The New York Society of Security Analysts, Inc.
 1540 Broadway, 10th Floor, New York, NY 10036-4036
 Phone: (212) 541-4530 Fax: (212) 541-4677
 Email: membership@nyssa.org Web: www.nyssa.org

APPLICATION

Student Chapter Membership

NYSSA's Student Chapter Membership is available to those who are matriculating, full-time or part-time, toward a bachelors or masters degree in finance or business, maintaining at least a 3.0 grade point average, and actively participating in a NYSSA Student Chapter at his/her college/university. The application must be signed by the chapter's faculty advisor.

APPLICANTS MUST ENCLOSE \$45 PAYMENT

PAYMENT TYPE: Check VISA MasterCard AmEx

CARD# _____ EXP _____

CARDHOLDER'S SIGNATURE _____

THIS APPLICATION WILL BE CONSIDERED ONLY IF COMPLETE. PLEASE PRINT CLEARLY OR TYPE.

MR. MRS. MS. OTHER _____ GENDER: FEMALE MALE PROFESSIONAL DESIGNATIONS _____
CFA®, CFP®, CMT, CPA, Ph.D.ETC.

NAME _____
FIRST NAME MIDDLE NAME LAST NAME/SURNAME INFORMAL NAME

CURRENT ADDRESS _____
ADDRESS CITY STATE ZIP COUNTRY

CURRENT PHONE _____ EMAIL _____

IS YOUR CURRENT ADDRESS VALID: YEAR ROUND ONLY DURING SCHOOL MONTHS (IF VALID YEAR ROUND, YOU DO NOT NEED TO SUPPLY A PERMANENT ADDRESS)

PERMANENT HOME ADDRESS _____
ADDRESS CITY STATE ZIP COUNTRY

PERMANENT HOME PHONE _____ ALTERNATIVE EMAIL _____

PREFERRED ADDRESS: CURRENT ADDRESS PERMANENT ADDRESS

ADDITIONAL PROFESSIONAL CERTIFICATIONS: _____
PMP, CMA, SPHR, ASQ, ETC.

MAY WE INCLUDE YOUR EMAIL ADDRESS IN THE NYSSA ONLINE MEMBER DIRECTORY? YES NO BIRTH YEAR _____

EDUCATION

NAME OF COLLEGE OR UNIVERSITY _____

EXPECTED DEGREE (BA, BS, MBA, MS, ETC.) _____ EXPECTED DEGREE COMPLETION DATE _____

MAJOR/FIELD OF STUDY _____

MINOR/SECONDARY FIELD OF STUDY _____

ADDITIONAL EDUCATION ACQUIRED

DEGREE COMPLETED _____ DEGREE COMPLETION DATE _____

MAJOR/FIELD OF STUDY _____

NAME OF COLLEGE OR UNIVERSITY _____

DEGREE COMPLETED _____ DEGREE COMPLETION DATE _____

MAJOR/FIELD OF STUDY _____

NAME OF COLLEGE OR UNIVERSITY _____



EMPLOYMENT

ARE YOU CURRENTLY EMPLOYED? YES NO

COMPANY NAME _____

JOB TITLE/RESPONSIBILITY _____ DEPARTMENT _____

COMPANY ADDRESS _____
ADDRESS CITY STATE ZIP COUNTRY

BUSINESS PHONE _____ BUSINESS FAX _____

STUDENT MEMBER AGREEMENT

I, _____, hereby assert that I am a matriculating toward a bachelors or masters degree in finance or business, (PRINT NAME IN FULL) or other courses of study related to the investment decision-making process. I maintain a GPA of 3.0 or higher and am in good standing at my college/university. I understand that I may only maintain my student membership only as long as I remain matriculated in good standing or within one year of my graduation date. If I am accepted, I agree to comply with Student Chapter roles and responsibilities and all provisions in the By-Laws, policies, and dues assessments of NYSSA. I also agree to sign the Professional Conduct Statement every year that I renew my membership.

DATE _____ APPLICANT'S SIGNATURE _____

*NYSSA's bylaws are located on our website www.nyssa.org/bylaws

FACULTY ADVISOR CONFIRMATION

I certify that this student has maintained a GPA of 3.0 or higher and is in good standing at _____, an accredited college/university. I also acknowledge this student is a participant in the _____ (COLLEGE/UNIVERSITY) NYSSA Chapter at our college/university.

DATE _____ PROFESSOR/ADVISOR SIGNATURE _____

PRINT NAME _____

TITLE _____



NYSSA PROFESSIONAL CONDUCT STATEMENT

This statement must be signed and submitted with the membership application and on each annual membership anniversary.

Please answer each question below. If you answer "yes" to any of these questions, please provide complete details on a separate sheet and attach it to this statement for review and consideration by the NYSSA Board of Directors.

In the last two years, have you been:

1. The subject of, a defendant in, or respondent in any investigation, civil litigation, arbitration, or other civil action or proceeding in which your professional conduct, in either a direct or supervisory capacity, was at issue? Yes No
2. Refused a bond by a surety company; or has a surety company paid out any funds on your coverage? Yes No
3. The subject of a written complaint regarding your professional conduct in either a direct or supervisory capacity? Yes No
4. Permanently or temporarily prevented from: 1) acting as a person required to be registered under any law or regulation (i.e., investment advisor, broker, dealer, etc.); 2) acting as an affiliated person or employee of any entity required to be registered under any law or regulation (i.e., investment company, bank, etc.); or 3) trading on any securities or contract market? Yes No
5. Found to have aided, abetted, counseled, commanded, induced, or procured the violation by any person or entity of any securities or commodities-related law or regulation or any rule promulgated thereunder? Yes No
6. Arrested, indicted, or convicted of 1) any felony or other crime punishable by more than one year in prison, or 2) a misdemeanor involving moral turpitude (lying, cheating, or other dishonest conduct) or any substantially equivalent crime in any court of law? Yes No
7. An owner or a part of a firm in which you were a principal that failed in a business, made a compromise with creditors, taken advantage of the Bankruptcy Act of Exemption Law, or pleaded the Statute of Limitations? Yes No
8. Required to defend yourself in any litigation or are there any outstanding unsatisfied judgements against you? Yes No

I hereby certify that the answers I have provided above and/or an attached sheet containing supplemental information are correct and accurate. I recognize that by failing to disclose any required information pursuant to this professional conduct statement and/or neglecting to file this statement when renewing my membership at its anniversary that my NYSSA membership may be revoked.

Full Name (Please print)

Signature

Date